

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 0756-1838

First Inventor or Application Identifier: Shunpei YAMAZAKI et al.

Title: SEMICONDUCTOR DEVICE, METHOD OF FABRICATING SAME, AND, ELECTROOPTICAL DEVICE

Express Mail Label No.

| APPL | LICATION | ELEMENTS |
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See MPEP chapter 600 concerning utility patent application contents.

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2. [X] Specification Total Pages [15]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
- 3. [X] Drawing(s) (35 USC 113)
- Total Sheets [3]
- 4. [X] Oath or Declaration
- Total Pages [2]
- a. [] Newly executed (original or copy)
- b. [X] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)
 - [Note Box 5 below] i. [] DELETION OF INVENTOR(S) Signed statement attached deleting
 - inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- 5. [X] Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b. is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- 6. [] Microfiche Computer Program (Appendix)
- 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. [] Computer Readable Copy
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ACCOMPANYING APPLICATION PARTS

- 8. [] Assignment Papers (cover sheet & document(s))
- 9. [] 37 CFR 3.73(b) Statement [] Power of Attorney (when there is an assignee)
- 10. [] English Translation Document (if applicable)
- 11. [X] Information Disclosure Statement [] Copies of IDS (IDS)/PTO-1449 Citations
- 12. [X] Preliminary Amendment
- 13. [X] Return Receipt Postcard (MPEP 503)
- (Should be specifically itemized) 14. [] *Small Entity [] Statement filed in prior application,
- Statement(s) Status still proper and desired (PTO/SB/09-12)
- Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 16. [] Other:
- *A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.
- 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
 - [X] Divisional of prior application Serial No. 08/962,840, filed November 3, 1997; which itself is a continuation of Serial No. 08/575,355, filed December 20, 1995, now abandoned.

Prior application information: Examiner: R. Booth Group/Art Unit: 1107

18. CORRESPONDENCE ADDRESS

[] Customer Number or Bar Code Label

or [X] Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name: Gerald J. Ferguson, Jr.

City:

Firm: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, P.C.

Address: 2010 Corporate Ridge, Suite 600 McLean State: VA

Country: U.S.A Telephone (703) 790-9110

Zip Code: 22102 FAX (703) 883-0370

Name: Gerald J Perguson. Registration No. 23,016

Signature

Date: July 17, 1998

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| Typed or Printed Gerald Name | J. Ferguson, Jr. | | | | | | Reg. Number | 23,016 | ; |
| Signature | 4 3 | , A | | Date | July 17, | , 1998 | Deposit Account User ID | 19-238 | 30 |

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- 1 - Docket: 0756-1838

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| In re | DIVISIONAL Patent Application of |) |
| Shunj | pei YAMAZAKI et al. |) |
| Based | d On Serial No. 08/962,840 |) Art Unit: 1107 |
| Whic | h Was Filed: November 3, 1997 |) Examiner: R. Booth |
| For: | SEMICONDUCTOR DEVICE, |) |
| | METHOD OF FABRICATING |) |
| | SAME, AND, ELECTROOPTICAL |) |
| | DEVICE |) Date: July 17, 1998 |
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PRELIMINARY AMENDMENT

Honorable Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Please preliminarily amend the subject application as follows:

IN THE SPECIFICATION:

Before the first sentence of the specification, insert -- This application is a Divisional of Serial No. 08/962,840, filed November 3, 1997; which itself is a continuation of Serial No. 08/575,355, now abandoned.--

<u>REMARKS</u>

Docket: 0756-1838

This application has been amended to include the continuing application data thereof.

Examination on the merits is requested.

Respectfully submitted,

Gerald J/Ferguson, Jr.

Registration No. 23,016

Sixbey, Friedman, Leedom & Ferguson, P.C.

2010 Corporate Ridge, Suite 600

McLean, Virginia 22102

(703) 790-9110

TITLE OF THE INVENTION

Semiconductor Device, Method of Fabricating Same, and, Electrooptical Device

BACKGROUND OF THE INVENTION

[Field of the Invention]

The present invention relates to a configuration of thin-film transistors (TFTs) formed on a flexible substrate (i.e., having mechanical flexibility) such as a resinous substrate which can be made of engineering plastics. The invention also relates to a method of fabricating such thin-film transistors. Furthermore, the invention relates to an active matrix liquid crystal display fabricated, using these thin-film transistors.

[Prior Art]

Thin-film transistors formed on glass substrates or on quartz substrates are known. Thin-film transistors formed on glass substrates are chiefly used in active matrix liquid crystal displays. Since active matrix liquid crystal displays can display images with high response and with high information content, it is expected that they can supplant simple matrix liquid crystal displays.

In an active matrix liquid crystal display, one or more thin-film transistors are disposed as a switching element at each pixel. Electric charge going in and out of the pixel electrode is controlled by this thin-film transistor. The substrates are made of glass or quartz, because it is necessary that visible light pass through the liquid crystal display.

Liquid crystal displays are display means which are expected to find quite extensive application. For example, they are expected to be used as display means for card-type computers, portable computers, and portable electronic

devices for various telecommunication appliances. As more sophisticated information is treated, more sophisticated information is required to be displayed on the display means used for these portable electronic devices. For example, there is a demand for functions of displaying higher information content and moving pictures as well as numerals and symbols.

Where a liquid crystal display is required to have a function of displaying higher information content and moving pictures, it is necessary to utilize an active matrix liquid crystal display. However, where substrates made of glass or quartz are used, various problems take place: (1) limitations are imposed on thinning of the liquid crystal display itself; (2) the weight is increased; (3) if the thickness is reduced in an attempt to reduce the weight, the substrate breaks; and (4) the substrate lacks flexibility.

Especially, card-type electronic devices are required to be so flexible that they are not damaged if slight stress is exerted on them when they are treated. Therefore, liquid crystal displays incorporated in these electronic devices are similarly required to be flexible.

The invention disclosed herein provides an active matrix liquid crystal display having flexibility.

SUMMARY OF THE INVENTION

One available method of imparting flexibility to a liquid crystal display is to use plastic or resinous substrates which transmit light. However, because of poor heat resistance of resinous substrates, it is technically difficult to form thin-film transistors on them.

Accordingly, the invention disclosed herein solves the foregoing difficulty by adopting the following configuration:

One invention disclosed herein comprises: a filmy resinous substrate; a resinous layer formed on a surface of said resinous substrate; and thin-film transistors formed on said resinous layer.

A specific example of the above-described configuration is shown in Fig. 1. In the configuration shown in Fig. 1, a resinous layer 102 is in contact with a PET film 101 having a thickness of 100 μ m, the PET film being a filmy resinous substrate. Inverted-staggered thin-film transistors are formed on the resinous layer.

The material of the filmy resinous substrate can be selected from PET (polyethylene terephthalate), (polyethylene naphthalate), PES (polyethylene sulfite), and polyimide. The requirements are flexibility and Preferably, the maximum temperature that the transparency. material can withstand is made as high as possible. heating temperature is elevated above 200°C, oligomers (polymers having diameters of about 1 μm) are generally deposited on the surface, or gases are produced. Therefore, it is quite difficult to form a semiconductor layer on the resinous substrate. Consequently, the material should have the highest possible processing temperature.

In the above-described structure, the resinous layer acts to planarize the surface of the resinous substrate. The planarization also serves to prevent precipitation of oligomers on the surface of the resinous substrate during steps involving heating such as the step for forming the semiconductor layer.

The material of this resinous layer can be selected from methyl esters of acrylic acid, ethyl esters of acrylic acid, butyl esters of acrylic acid, and 2-ethylhexyl esters of acrylic acid. Even if resinous substrates are used, this resinous layer can suppress the drawbacks with fabrication

of the afore-mentioned thin-film transistors.

The configuration of another invention comprises the steps of: forming a resinous layer on a filmy resinous substrate; forming a semiconductor layer on said resinous layer by plasma-assisted CVD; and forming thin-film transistors, using said semiconductor layer.

The configuration of a further invention comprises the steps of: heat-treating a filmy resinous substrate at a given temperature to degas said resinous substrate; forming a resinous layer on the filmy resinous substrate; forming a semiconductor layer on said resinous substrate by plasma-assisted CVD; and forming thin-film transistors, using said semiconductor layer.

In the above-described structure, heat-treatment is made to degas the resinous substrate, in order to prevent escape of gases from the resinous substrate during later processes involving heating. For example, if gases are released from the resinous substrate when a semiconductor thin film is being formed on the resinous substrate, then large pinholes are formed in the semiconductor thin film. This greatly impairs the electrical characteristics. Accordingly, the substrate is heat-treated at a temperature higher than heating temperatures used in the later processes, to degas the resinous substrate. In this way, release of gases from the resinous substrate during the later steps can be suppressed.

The configuration of a yet other invention comprises the steps of: heat-treating a filmy resinous substrate at a given temperature; forming a resinous layer on said filmy resinous substrate; forming a semiconductor layer on said resinous substrate by plasma-assisted CVD while heating the substrate to a temperature lower than said given temperature; and forming thin-film transistors, using said

semiconductor layer.

The configuration of a still other invention comprises the steps of: heat-treating a filmy resinous substrate at a given temperature which is higher than any heat-treatment temperature used in other steps; forming a resinous layer on said filmy resinous substrate; forming a semiconductor layer on said resinous substrate by plasma-assisted CVD; and forming thin-film transistors, using said semiconductor layer.

The configuration of a still further invention comprises: a pair of filmy resinous substrates; a liquid crystal material held between said resinous substrates; pixel electrodes formed on a surface of at least one of said resinous substrates; thin-film transistors connected with said pixel electrodes and formed on said resinous substrate; and resinous layers formed on surfaces of said filmy resinous substrates to planarize the surfaces.

A specific example of the above-described structure is shown in Fig. 3. In the structure shown in Fig. 3, a pair of resinous substrates 301, 302, a liquid crystal material 309 held between these resinous substrates, pixel electrodes 306, thin-film transistors (TFTs) 305 connected with the pixel electrodes 306, and a resinous layer 303 for planarizing the surface of the resinous substrate 301.

BRIEF DESCRIPTION OF THE DRAWINGS

Figs. 1(A) to 1(E) are views illustrating a process sequence for fabricating thin-film transistors according to the present invention;

Figs. 2(A) to 2(C) are views illustrating another process sequence for fabricating thin-film transistors according to the present invention; and

Fig. 3 is a schematic cross-sectional view of a liquid

, crystal panel.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS EXAMPLE 1

The present example shows an example in which invertedstaggered TFTs are formed on a substrate of PET (polyethylene terephthalate) which is an organic resin.

As shown in Fig. 1(A), a PET film 101 having a thickness of 100 μm is first prepared and heat-treated to degas the film. This heat-treatment is required to be conducted at a temperature higher than the highest temperature applied in later processes. In the processes shown in the present example, a temperature of 160°C used during formation of an amorphous silicon film by plasma-assisted CVD is the highest heating temperature. Therefore, the heat-treatment for degassing the PET film is performed at 180°C.

A layer of an acrylic resin 102 is formed on this PET film 101. As an example, a methyl ester of acrylic acid can be used as the acrylic resin. This acrylic resin layer 102 acts to prevent precipitation of oligomers on the surface of the PET film 101 in processes conducted later. The acrylic resin layer 102 also serves to planarize the uneven surface of the PET film 102. Generally, PET film surface has unevenness of the order of several hundreds of angstroms to 1 μm . Such unevenness greatly affects the electrical properties of the semiconductor layer having a thickness of several hundreds of angstroms. Therefore, it is quite important to planarize the base on which the semiconductor layer is formed.

Then, gate electrodes 103 of aluminum are formed. The gate electrodes 103 are formed by forming an aluminum film to a thickness of 2000 to 5000 Å (3000 Å in this example) by sputtering and performing a well-known patterning step

making use of photolithography. The gate electrodes 103 are etched so that the side surfaces are tapered (Fig. 1(A)).

Thereafter, a silicon oxide film acting as a gate-insulating film 104 is formed to a thickness of 1000 Å by sputtering. The gate-insulating film 104 may be made from silicon nitride instead of silicon oxide.

Subsequently, a substantially intrinsic (I-type) amorphous silicon film 105 is formed to a thickness of 500 Å by plasma-assisted CVD under the following conditions:

film formation temperature

(at which the substrate is heated): 160°C

reaction pressure: 0.5 torr

RF power (13.56 MHz): 20 mW/cm²

reactant gas: SiH₄

In this example, the film is formed, using a parallelplate plasma-CVD machine. The substrate is heated by a heater disposed within a substrate stage in which the resinous substrate is placed. In this way, the state shown in Fig. 1(B) is obtained.

Then, a silicon oxide film which acts as an etch stopper in a later step is formed by sputtering and then patterned to form an etch stopper 106.

Thereafter, an n-type amorphous silicon film 107 is formed to a thickness of 300 Å by parallel-plate plasma-assisted CVD under the following conditions:

film formation temperature

(at which the substrate is heated): 160°C

reaction pressure: 0.5 torr

RF power (13.56 MHz): 20 mW/cm²

reactant gases: $B_2H_6/SiH_4 = 1/100$

In this way, the state shown in Fig. 1(C) is obtained.

Then, the n-type amorphous silicon film 107 and the substantially intrinsic (I-type) amorphous silicon film 105 are patterned by a dry-etching process. An aluminum film is formed to a thickness of 3000 Å by sputtering techniques. Thereafter, this aluminum film and the underlying n-type amorphous silicon film 107 are etched to form source electrodes 108 and drain electrodes 109. During this etching process, the action of the etch stopper 106 assures that the source and drain regions are isolated from each other (Fig. 1(D)).

An interlayer dielectric layer 110 is formed out of a resinous material such as silicon oxide or polyimide to a thickness of 6000 Å. Where a silicon oxide film is formed, a liquid which is applied when the silicon oxide film is formed may be used. Finally, contact holes are formed, and pixel electrodes 111 are fabricated from ITO. In this way, thin-film transistors arranged at the pixel electrodes of the active matrix liquid crystal display can be fabricated, using the transparent resinous substrate (Fig. 1(E)).

EXAMPLE 2

The present example shows a case in which an active matrix liquid crystal display is fabricated, using the thin-film transistors described in Example 1. The liquid crystal electrooptical device described in the present example is shown in Fig. 3 in cross section.

In Fig. 3, PET films 301 and 302 having a thickness of 100 μm form a pair of substrates. An acrylic resin layer 303 acts as a planarizing layer. Indicated by 306 are pixel electrodes. In Fig. 3, only the structure corresponding to two pixels is shown.

Indicated by 304 is a counter electrode. Orientation films 307 and 308 orient a liquid crystal 309 which can be a

twisted-nematic (TN) liquid crystal, supertwisted-nematic (STN) liquid crystal, or a ferroelectric liquid crystal. Generally, a TN liquid crystal is employed. The thickness of the liquid crystal layer is several micrometers to about 10 μm.

Thin-film transistors (TFTs) 305 are connected with the pixel electrodes 306. Electric charge going in and out of the pixel electrodes 306 is controlled by the TFTs 305. In this example, only one of the pixel electrodes 306 is shown as a typical one but a required number of other configurations of similar structure are also formed.

In the structure shown in Fig. 3, the substrates 301 and 302 have flexibility and so the whole liquid crystal panel can be made flexible.

EXAMPLE 3

The present example shows an example in which coplanar thin-film transistors used for an active matrix liquid crystal display are fabricated. The process sequence for fabricating the thin-film transistors of the present example is shown in Fig. 2. First, a PET film 201 having a thickness of 100 µm is prepared as a filmy organic resin substrate. The film is heated-treated at 180°C to promote degassing from the PET film 201. A layer of an acrylic resin 202 is formed on the surface of the film. In this example, an ethyl ester of acrylic acid is used as the acrylic resin.

Then, a substantially intrinsic (I-type) semiconductor layer 203 in which a channel formation region is formed is grown by plasma-assisted CVD under the following conditions:

film formation temperature (at which the substrate is heated): 160°C

reaction pressure: 0.5 torr

RF power (13.56 MHz): 20 mW/cm²

reactant gas: SiH₄

In this example, a parallel-plate plasma-CVD machine is used to grow the film.

Then, an n-type amorphous silicon film is grown to a thickness of 300 Å by the parallel-plate plasma-CVD machine under the following conditions:

film formation temperature

(at which the substrate is heated): 160°C

reaction pressure: 0.5 torr

RF power (13.56 MHz): 20 mW/cm²

reactant gases: $B_2H_6/SiH_4 = 1/100$

The n-type amorphous silicon film is patterned to form source regions 205 and drain regions 204 (Fig. 2(A)).

A silicon oxide film or silicon nitride film acting as a gate-insulating film is formed by sputtering techniques and patterned to form the gate-insulating film 206. Gate electrodes 207 are then formed from aluminum (Fig. 2(B)).

A polyimide layer 208 is formed as an interlayer dielectric film to a thickness of 5000 Å. Contact holes are formed. ITO electrodes 209 becoming pixel electrodes are formed by sputtering, thus completing TFTs (Fig. 2(C)).

EXAMPLE 4

The present example is similar to the structure of Example 1 or 2 except that the semiconductor layer is made of a microcrystalline semiconductor film. First, a substantially intrinsic semiconductor layer is grown as the microcrystalline semiconductor layer under the following conditions:

film formation temperature

(at which the substrate is heated): 160°C

reaction pressure: 0.5 torr

RF power (13.56 MHz): 150 mW/cm²

reactant gases: $SiH_4/H_2 = 1/30$

In this example, a parallel-plate plasma-CVD machine is used to grow the film.

The conditions under which an n-type microcrystalline silicon film is grown are described below. Also in this case, a parallel-plate plasma-CVD machine is used.

film formation temperature

(at which the substrate is heated): 160°C

reaction pressure: 0.5 torr

RF power (13.56 MHz): 150 mW/cm²

reactant gases: $B_2H_6/SiH_4 = 1/100$

Generally, a microcrystalline silicon film can be obtained by supplying power of 100 to 200 mW/cm². In the case of the I-type semiconductor layer, desirable results are obtained by diluting silane with hydrogen by a factor of about 10 to 50, as well as by increasing the power. However, if the hydrogen dilution is made, the film growth rate drops.

EXAMPLE 5

The present example relates to a method consisting of irradiating a silicon film with laser light having such a power that the filmy base or substrate is not heated, the silicon film having been formed by plasma-assisted CVD as described in the other examples.

A technique for changing an amorphous silicon film formed on a glass substrate into a crystalline silicon film by irradiating the amorphous film with laser light (e.g., KrF excimer laser light) is known. In another known technique, impurity ions for imparting one conductivity type are implanted into the silicon film and then the silicon film is irradiated with laser light to activate the silicon film and the impurity ions. The implantation of the impurity ions amorphizes the silicon film.

The configuration described in the present example makes use of a laser irradiation process as described above, and is characterized in that the amorphous silicon film 105 shown in Fig. 1 or the amorphous silicon films 203 and 204 shown in Fig. 2 are irradiated with quite weak laser light to crystallize the amorphous silicon film. If the previously formed film is a microcrystalline silicon film, the crystallinity can be improved.

KrF excimer laser or XeCl excimer laser can be used to emit the laser light. The energy of the emitted laser light is 10 to 50 $\,\mathrm{mJ/cm^2}$. It is important that the resinous substrate 101 or 102 be not thermally damaged.

By utilizing the invention disclosed herein, the thickness of an active matrix liquid crystal display can be reduced. Also, the weight can be decreased. If an external force is applied, the substrates do not break. Flexibility can be imparted to the display.

This liquid crystal display can find wide application and is quite useful.

WHAT IS CLAIMED IS:

- 1. A semiconductor device comprising:
 - a filmy resinous substrate;
 - a resinous layer provided on said filmy resinous substrate; and
- a semiconductor layer comprising silicon provided over said resinous layer.
- 2. The device of claim 1 wherein said semiconductor layer constitutes an inverted-staggered thin-film transistor.
- 3. The device of claim 1 wherein said substrate comprises a material selected from the group consisting of polyethylene terephthalate, polyethylene naphthalate, polyethylene sulfite and polyimide.
- 4. The device of claim 1 wherein said resinous layer comprises a material selected from the group consisting of methyl ester of acrylic acid, ethyl ester of acrylic acid, butyl ester of acrylic acid, 2-ethylhexyl ester of acrylic acid.
 - 5. A semiconductor device comprising:
 - a filmy resinous substrate;
 - a resinous layer provided on said filmy resinous substrate; and
- a thin film transistor comprising a semiconductor layer comprising silicon on said resinous layer;
- an interlayer dielectric layer comprising a resinous material provided over said semiconductor layer; and

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an indium tin oxide layer provided on said interlayer dielectric layer.

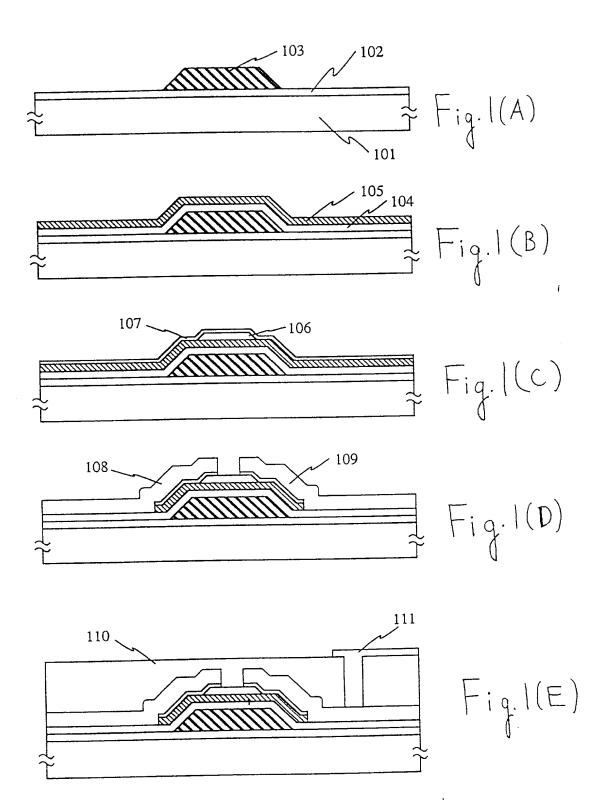
- 6. The device of claim 5 wherein said substrate comprises a material selected from the group consisting of polyethylene terephthalate, polyethylene naphthalate, polyethylene sulfite and polyimide.
- 7. The device of claim 5 wherein said resinous layer comprises a material selected from the group consisting of methyl ester of acrylic acid, ethyl ester of acrylic acid, butyl ester of acrylic acid, 2-ethylhexyl ester of acrylic acid.
- 8. The device of claim 5 wherein said interlayer dielectric layer comprises polyimide.
 - 9. A semiconductor device comprising:
 - a filmy resinous substrate;
 - a resinous layer provided on said filmy resinous substrate; and
- a semiconductor layer comprising silicon provided over said resinous layer,

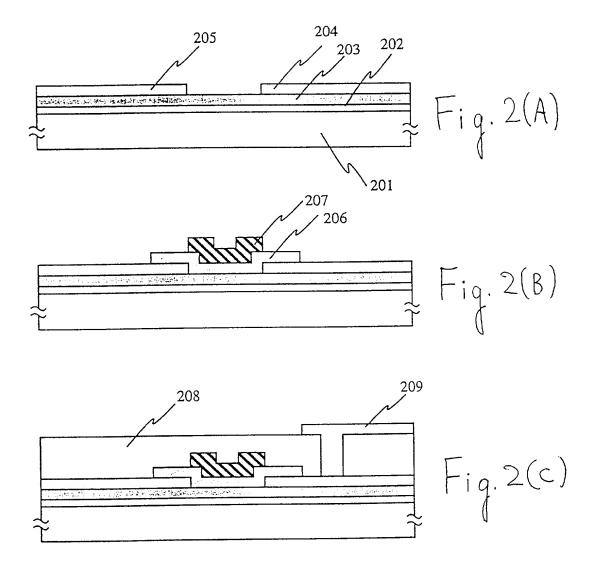
wherein said substrate comprises a material selected from the group consisting of polyethylene terephthalate, polyethylene naphthalate, polyethylene sulfite and polyimide.

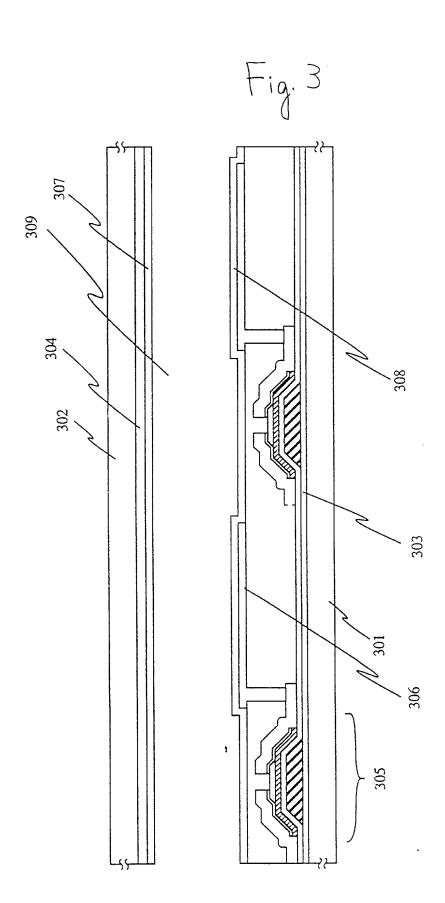
10. The device of claim 9 wherein said resinous layer comprises a material selected from the group consisting of methyl ester of acrylic acid, ethyl ester of acrylic acid, butyl ester of acrylic acid, 2-ethylhexyl ester of acrylic acid.

ABSTRACT

A pair of substrates forming the active matrix liquid crystal display are fabricated from resinous substrates having transparency and flexibility. A thin-film transistor has a semiconductor film formed on a resinous layer formed on one resinous substrate. The resinous layer is formed to prevent generation of oligomers on the surface of the resinous substrate during formation of the film and to planarize the surface of the resinous substrate.







DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

| ATTORNEY DOCKET NO. | • | ···· | |
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| 0756-1464 | | | |

| PLEASE NOTE |
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| YOU MUST |
| COMPLETE TI |
| POLLOWING: |

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the invention entitled: * Semiconductor Device, Method of Fabricating Same and, Electrooptical Device

of which is attached hereto unless the following box is checked:

_, the specification

Orck Box If Appropriate --Hor Use Withou

The specification was filed on <u>December 20</u>, 1995 and was assigned Serial No. ____ and was amended on ____

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof, or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns, except as follows:

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and checked at right:

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| TITOL | | 10151 | TAUL. | nicai | ion(s) |
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Priority Claimed

| sert Priority | 6-339162 | JAPAN | 12/27/1994 | _ 03. | |
|---------------|----------|-----------|-------------------------|------------|---------|
| formation * | (Number) | (Country) | (Month/Day/Year Filed) | Yes | No |
| . •[][| (Number) | (Country) | (Monuly/Day/Year Filed) | - C | □ 8° |
| | (Number) | (Cainty) | (Month/Day/Year Filed) | - C | No. |
| | (Number) | (Country) | (Month/Day/Year Filed) | – 🔲 Yes | No. |
| | (Number) | (Country) | (Month/l)ay/Year Filed) | _ D Yes | N° |

All Foreign Applications, if any, for any Patent or Inventor's Certificate Filed More Than 12 Months Prior To The Filing Date of This Application:

| Country | Application No. | Date of Filing (Month/Day/Year) |
|---------|-----------------|---------------------------------|
| | | |
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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| (Application Serial No.) | (Filing Date) | (Status—patented, pending, abandoned) |
|--------------------------|---------------|---------------------------------------|
| (Application Serial No.) | (Filing Date) | (Status—patented, pending, abandoned) |

I hereby appoint the following attorneys to prosecute this application and/or an international application and to transact all business in the Patent and Trademark Office connected therewith:

Daniel W. Sixbey (Reg. No. 20,932) Stuart J. Friedman (Reg. No. 24,312) Charles M. Leedom, Jr. (Reg. No. 26,477)

Gerald J. Ferguson, Jr. (Reg. No. 23,016) David S. Safran (Reg. No. 27,997) Thomas W. Cole (Reg. No. 28,290)

Send Correspondence to:

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Q,

غد Insert Name

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Inscrt Insert Pr Addr

firm, attorney

SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, P.C. 2010 Corporate Ridge, Suite 600 McLean, Virginia 22102 Telephone: (703) 790-9110

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorize any U.S. attorney or agent named herein to accept and follow instructions from_ as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys or agents named herein will be so notified by the understaned

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| | | | | |
| Insert Hall Name of First or Sole Inventor | FULL NAME OF SOLE OR FIRST INVENTOR | INVENTOR'S SIGNATURE | | DATE |
| and Date This Document Is Signed | Shunpei YAMAZAKI | I Shows (| Jah: | 03/21/1996 |
| | RESIDENCE (City, State & Country) | Jarofu C | CITIZENSHIP | N3/21/1990 |
| Insert Residence Insert Citizenship | Tokyo, Japan | | Japanese | |
| Insert Post Office | POST OFFICE ADDRESS (Complete Street Address Inc | cluding City, State & Country) | | |
| Address 🗭 | 4-10-20, Seijo, Setagaya-ku, | | | |
| Second Inventor: | FULL NAME OF SECOND JOINT INVENTOR, IF ANY | INVENTOR'S SIGNATURE | T. | DATE |
| see above | Yasuyuki ARAI | Grammati a | ~~ | 03/21/1996 |
| | RESIDENCE (City, State & Country) | | CITIZENSHIP | |
| | Kanagawa, Japan | · · | Japanese | |
| | POST OFFICE ADDRESS (Complete Street Address in | duding City, State & Country) | 1 | |
| | 1-29-6-202, Morinosato, Atsug | gi-shi, Kanagawa | -ken 243-0 | l Japan |
| Third Inventor: | FULL NAME OF THIRD JOINT INVENTOR, IF ANY | INVENTOR'S SIGNATURE | | DATE |
| sec above | Satoshi TERAMOTO | SATOSHITE | RAMOTO | 03/21/1996 |
| | RESIDENCE (City, State & Country) | | CITIZENSHIP | |
| | Kanagawa, Japan | | Japanese | |
| | POST OFFICE ADDRESS (Complete Street Address inc | cluding City, State & Country) | 10 apanese | |
| | Flat SEL-B 205, 304-1, Hase, | Atsugi-shi,Kanag | gawa-ken 2 | 43 Japan |
| Fourth inventor: | FULL NAME OF FOURTH JOINT INVENTOR, IF ANY | INVENTOR'S SIGNATURE | | DATE |
| see above | | | | |
| | RESIDENCE (City, State & Country) | <u> </u> | CITIZENSHIP | L |
| | | | · | |
| | POST OFFICE ADDRESS (Complete Street Address inc | duding City, State & Country) | | |
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